

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC575 U.S. PTO
 09/21/99

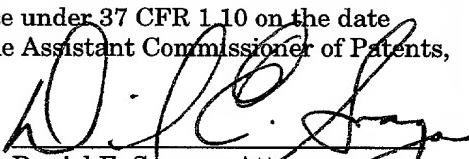
Applicant : Jurgen Kaaden and Peter Mahr
 Filed : Herewith
 For : METHOD FOR TRACKING CONTROL

JC572 U.S. PRO
 09/400233
 09/21/99

CERTIFICATE OF MAILING BY
EXPRESS MAIL PURSUANT TO 37 CFR 1.10

Express Mail Label Number EL278372875US
Date of Deposit: September 21, 1999

I hereby certify that I am depositing this paper with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and this paper is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.


Daniel E. Sragow, Attorney
Registration No. 22,856

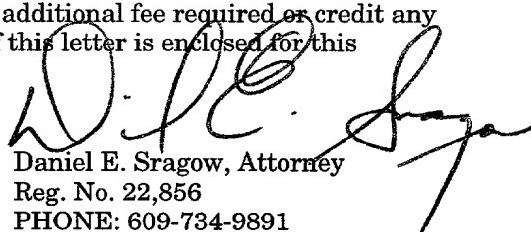
LETTER OF TRANSMITTAL AND APPLICATION FOR
GRANT OF LETTERS PATENT PURSUANT TO 37 CFR 1.53

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Application is hereby made this 21st day of September, 1999, in the name of and on behalf of Jurgen Kaaden and Peter Mahr for grant of Letters Patent for the invention entitled METHOD FOR TRACKING CONTROL which is claimed in the application attached hereto and identified with attorney docket number RCA 89,796.

Please charge the filing fee and any additional fee required or credit any overpayment to Deposit Account 07-0832. A copy of this letter is enclosed for this purpose.


Daniel E. Sragow, Attorney
Reg. No. 22,856
PHONE: 609-734-9891

PLEASE ADDRESS ALL COMMUNICATIONS TO:

Joseph S. Tripoli
 Patent Operation
 Thomson Multimedia
 Licensing Inc.
 CN 5312
 Princeton, NJ 08543-5312

DES/kms

Method for tracking control**Field of the invention**

5 The invention relates to a method for tracking control, in particular for a magnetic tape recorder. The invention is based on a method according to the precharacterizing clause of Claim 1.

10 In the following text, the term magnetic tape recorder means any apparatus in which signals, data or information are recorded in analogue and/or digital form on a magnetic tape and/or are read from such a magnetic tape. Irrespective of this, such apparatus may also have other functions, for example they may be
15 combined with an electronic camera.

Background of the invention

20 The publication "Towards the Multitrack Digital Video Tape Recorder" by Francois Maurice in "The Magnetic Society of Japan" 1991, Volume 15, pages 389 to 394 discloses a magnetic tape recorder in which a large number of data and/or signal tracks are recorded on a magnetic tape using the method of longitudinal track recording. In the case of the apparatus disclosed in this document, the data tracks are written simultaneously by means of a matrix head. In one exemplary embodiment of this apparatus, up to 80 parallel tracks are written with a width of 7 µm
25 without guard bands, that is to say without any intermediate space between the individual tracks.

30 The data tracks are read by a magneto-optical scanning device, which has a magneto-optical transducer. Using the Kerr effect, the alternating magnetization on the data tracks is converted into optical signals from which, in turn, electrical signals are produced by means of a photo-sensitive CCD element ("Charge Coupled Device"). In this case, each data track is assigned a cell or a pixel of the CCD element.
35

In order to replay the stored data correctly, it is advantageous if each data track is imaged onto one, and only one, associated CCD pixel, to be precise even if, for example, the height of the magnetic tape is
5 fluctuating or if mechanical vibration is varying the position of the data tracks with respect to the magneto-optical transducer. In order to achieve this aim, it is known from the prior art for a transparent plane-parallel tracking plate to be provided in the
10 beam path between the magneto-optical transducer and the CCD element. The tracking plate is movable which means that one, and only one, data track is imaged onto each CCD pixel, as is disclosed, for example, in German Patent Application 197 47 493.4, from the same
15 applicant.

In the case of a "Stationary Digital Cassette Recorder" magnetic tape system which is referred to by the abbreviation SDCR, 80 data tracks are recorded simultaneously, of which three are so-called servo
20 tracks in which suitable patterns are stored in order to make it possible to detect a laterally offset map of the optical signals emitted from the magneto-optical transducer, on the CCD element. Suitable patterns and a method for evaluation of these patterns are described
25 in German Patent Application DE-A 196 10 089 from the same applicant. However, these are not the subject of the present application.

The known method and the known appliance are able to compensate very quickly for fluctuations in the
30 tape height and mechanical vibration. However, in practice, it has been found that the optics which are used are not always able to image all 80 data tracks completely homogeneously. This means that, for example, the first data tracks on one edge of the magnetic tape
35 are imaged precisely onto one pixel, while a significant error occurs between the last data tracks on the other edge of the magnetic tape and their associated pixels.

Summary of the invention

Based on this, the object of the invention is to specify a method for tracking control, which allows 5 tracking correction which preserves the advantages of the known method but avoids its disadvantages.

This object is achieved by a method according to Claim 1. The method is characterized in that while a data section is moving past the head unit, primary 10 measured values are determined from at least one control track. Furthermore, while the initial region of a data section is moving past the head unit, secondary measured values are determined from at least one additional control track. A tracking signal is derived 15 from the primary and secondary measured values, which indicates whether and, if appropriate, in which direction the head unit of the magnetic tape recorder has moved away from its nominal position on the data medium. The tracking signal is used to produce a 20 tracking control signal, by means of which suitable corrections are made in order to compensate for errors in tracking.

It is particularly advantageous if for the purpose of corrections the tracking control signal is 25 emitted to at least one actuator, by means of which the position of the regions which can be addressed by the head unit on the data medium is varied. This can be done, for example, by a tracking plate which influences 30 the beam path of the light coming from the magneto-optical transducer, in such a way that one, and only one data track recorded on the data medium is in each case imaged onto each pixel of the CCD element.

According to a development of the invention, it is possible first of all to determine a first tracking 35 signal from the primary measured values while the initial region of the data section is moving passed the head unit and then to determine a second tracking signal in both the primary measured values and the secondary measured values, after which a difference

value is formed from the first tracking signal and the second tracking signal and is stored and, during this time interval, the tracking control signal is produced solely from the second tracking signal.

5 Building on this, it is advantageous if while the useful region of a data section is moving past the head unit a first tracking control signal is determined from the primary measured values, and if during this time interval the tracking control signal is produced
10 from the first tracking signal as well as the stored difference value. This has the advantage that, although only one control track is evaluated, account is taken as far as possible of shortcomings in the optical imaging system along the width of the data medium for
15 tracking control of the head unit.

A second aspect of the invention relates to a method for recording data on a data medium in the form of tape, in particular in the form of longitudinal tracks.

20 DE 196 10 089 discloses the use of servo tracks for longitudinal track recording over the entire length of a magnetic tape. As explained initially, it is advantageous for tracking that it is as accurate as possible for a plurality of servo tracks to be recorded
25 distributed over the entire width of the magnetic tape. However, a disadvantage in this case is that space on the magnetic tape is filled with servo data, which, in consequence, is no longer available for recording useful data.

30 Based on this, a further object of the invention is to specify a recording method which allows an increased useful data density of a magnetic tape, without this adversely affecting the quality of tracking.

35 This object is achieved by a method according to Claim 5. Based on the recording method according to the invention, servo tracks are recorded early in those regions where the tracking method actually carries out evaluation. This has the advantage that as much space

as possible on the data needed is available for useful data.

Further advantageous developments of the recording method according to the invention are the
5 subject matter of dependent claims.

A final aspect of the invention is to provide a magnetic tape which allows the use of the method according to the invention for tracking. This object is achieved by a magnetic tape according to Claim 10.

10 This magnetic tape is distinguished by a particularly high storage capacity for freely usable data.

Brief description of the drawings

15 The drawing shows, schematically, a magnetic tape recorder in which the method according to the invention and the magnetic tape according to the invention are used. In the figures.

20 Figure 1a shows a schematic illustration of a magnetic tape recorder in which a plurality of parallel data tracks are recorded at the same time;

Figure 1b shows a detail from the magnetic tape in Figure 1a, and

25 Figure 2 shows an illustration of the arrangement for tracking control.

Detailed description of preferred embodiments

30 Figure 1a shows, schematically, the design of a magnetic tape recorder which records a plurality of parallel data tracks 1 on a magnetic tape 2, and reads these tracks from said tape. A laser diode 3 forms a divergent light source for linear-polarized light. The
35 diverging light beams are imaged on a magneto-optical transducer 4 by means of optical imaging means, as a focused bar. The optical imaging means are shown schematically in Figure 1 as a lighting lens 6. The incident light is reflected in the magneto-optical

transducer 4 and is imaged on the light-sensitive region of a CCD element 7. The imaging means are shown schematically in the figure, as the imaging lens 8.

When the incident light is reflected in the magneto-optical transducer 4, stray magnetic fields caused by the magnetization of the adjacent magnetic tape 2 cause the polarization plane of the reflected light to rotate with respect to the polarization plane of the incident light. This effect is called the Kerr effect. Before the light strikes the CCD element 7, it passes through an analyser which is not shown in the figure but which is set such that light whose polarization plane has not been rotated passes through without being attenuated.

The described parts form a read unit, which is adjusted such that the light which is influenced by in each case one data track is imaged on a pixel of the CCD element 7. In order to ensure this, a tracking plate 9 is arranged in the beam path of the reflected light. The tracking plate 9 consists of a plane-parallel transparent plate, which can be moved or pivoted backwards and forwards by actuating means 11. The refractive index of the plate 9 is greater than that of air, so that the emerging light is offset parallel with respect to the entering light. The light which strikes the CCD element 7 can thus be moved in the vertical direction in the figure by moving the tracking plate 9. This means that the position of the regions which are read by the CCD element on the magnetic tape 2 are shifted, so that optimum adjustment can be achieved.

The arrangement described so far is used to produce an electrical output signal from the CCD element 7 from the alternating magnetization of each data track 1 on the magnetic tape 2. These signals are converted by suitable circuit means, which comprise an amplifier 12, an analogue/digital converter 13 as well as a signal processing unit 14, into a digital, electrical output signal which is available, for example, for replaying music. Furthermore, the output signal from the amplifier 12 is emitted to a

preprocessing stage 16, which evaluates the signals from the servo tracks. The output signal from the preprocessing stage 16 is a tracking signal which indicates whether and, if appropriate, in which 5 direction, those pixels in the CCD element 7 which are associated with the servo tracks are offset from the optical images of the servo tracks on the CCD element. The tracking signal is supplied to a servo controller 17 which emits a tracking control signal to the 10 actuating means 11, which varies the position of the tracking plate 9 so that each data track is once again accurately imaged on one pixel of the CCD element 7.

The arrangement of the tracking plate 9 is shown once again in greater detail in Figure 1b, in 15 which case, for clarity, all the other optical imaging means have been omitted. The way in which the tracking plate 9 operates is disclosed in detail in the European Patent Application with the file reference 97 402 267.5 from the same applicant. In the figure, the arrows 17a, 20 17b show the movement of the tracking plate 9. The arrows 17c, 17d indicate the influence this has on the imaging of the data tracks on the CCD element.

Figure 2 shows a detail of the magnetic tape 2, in which servo tracks 18a..18c are emphasized by shaded 25 areas. Each servo track comprises, for example, three data tracks. All the other data tracks are arranged parallel to these servo tracks 18a..18c, but are not shown for the sake of clarity. In the case of the magnetic tape recorder being described, the data tracks 30 are recorded in longitudinal tracks, which are split into individual data sections in the longitudinal direction. Figure 2 shows a data section 21 which is divided into an initial region 22 and a useful region 23. As can be seen, a first servo track 18a extends 35 over the entire length of the data section 21 while, in contrast, two further servo tracks 18b, 18c extend only over the initial region 22.

The way in which the method according to the invention for tracking control operates will now be explained in more detail with reference to Figure 2:

While the initial region 22 of the data section 5 21 is moving past the magneto-optical transducer 4, all three servo track arrangements 18a..18c are evaluated by means of the preprocessing stage 16 and the servo controller 17, and the tracking control plate 9 is moved as necessary, in order to improve the tracking. 10 The evaluation of the three servo tracks 18a..18c leads to good tracking for all data tracks, but consumes a relatively large amount of space on the data medium, and evaluation capacity. Furthermore, the evaluation of all the servo tracks slows down tracking control. 15 However, this is irrelevant in the initial region 22 of the data section 21 since the data stored there are intended only for synchronization of the evaluation electronics.

In detail, in the initial region 22, one 20 tracking signal is, on the one hand, determined solely from the servo track 18a and, on the other hand, a further tracking signal is determined from all three servo tracks 18a..18c. Owing to the inhomogeneities in the optical imaging of the data tracks on the light- 25 sensitive region of the CCD element 7, the two tracking signals differ. This difference is stored as a difference value, and provides a measure of such inhomogeneities.

The situation in the useful region 23 of the 30 data section 21 is different since, here, as much of the space on the magnetic tape 2 as possible is intended to be available for useful data, while tracking control is intended to work as quickly as possible at the same time. For this reason, only the 35 servo track 18a continues into the useful region 23. Fast and nevertheless accurate tracking control in the useful region 23 is achieved over the entire tape height with the method according to the invention in that a correction value is taken into account, in

addition to the measured values from the servo track 18a.

The amount by which the tracking signal produced from the servo track 18a differs from the tracking signal which takes account of all three servo tracks 18a..18c is known from the initial region 22. This error is stored as the difference value described above. On the assumption that the useful region 23 is subject to the same inhomogeneities as the initial region 22, it is possible to evaluate only one servo track instead of three, and to add the said difference value to the result. In practice, it has been found that fast and accurate tracking can actually be achieved in this way.

The advantage of this method is that space is saved on the magnetic tape 2, while at the same time allowing the tracking control to respond faster, in particular to external, mechanical disturbances.

The recording method according to the invention takes account of the requirements of the tracking control method described above. A plurality of parallel data tracks are recorded on the magnetic tape 2 using the longitudinal track method, by a matrix head 24 (Figure 1a). The data tracks are split, as described in conjunction with Figure 2, into regions, in which case three servo tracks are recorded in the initial region 22 while, in contrast, only one servo track 18a is recorded in the useful region 23. In comparison to a method in which three servo tracks are recorded continuously, it is possible using the recording method according to the invention to achieve an increased data density for the useful data.

The method according to the invention for tracking control may also be used for recording data on the data medium, and not only for the playing.

What is claimed is

1. Method for tracking control of a head unit with respect to data tracks on a data medium, which data tracks are arranged at least in sections essentially parallel to the relative movement direction between the data medium and the head unit, in which case the data tracks are split into successive data sections which, for their part, are each divided into an initial region and a useful region, characterized in that the method comprises the following steps:
 - a) while a data section is moving past the head unit, primary measured values are determined from at least one control track;
 - b) while the initial region of a data section is moving past the head unit, secondary measured values are determined from at least one additional control track;
 - c) a tracking signal is derived, based on the primary and secondary measured values, and
 - d) a tracking control signal is produced from the tracking signal.
- 25 2. Method according to Claim 1, characterized in that the tracking control signal is emitted to at least one actuator by means of which the position of the regions which can be addressed by the head unit on the data medium is varied.
- 30 3. Method according to Claim 1, characterized in that, while the initial region of a data section is moving passed the head unit,
 - a) a first tracking signal is first of all determined from the primary measured values;
 - b) a second tracking signal is then determined from both the primary measured values and the secondary measured values, after which

- 5 c) a difference value is formed from the first and
 the second tracking signal and is stored, and
d) during this time interval, the tracking control
 signal is produced solely from the second tracking
 signal.
- 10 4. Method according to Claim 3, characterized in
 that, while the useful region of a data section is
 moving past the head unit,
a) a first tracking signal is determined from the
 primary measured values, and
b) during this time interval, the tracking control
 signal is produced from the first tracking signal
 as well as the stored difference value.
- 15 5. Method for recording data on a data medium in the
 form of tape, in which case the method comprises
 the following steps:
a) a write head is used to record a plurality of data
 tracks on the data medium at the same time, in
 which case the data tracks are split into
 successive data sections which, for their part,
 are each divided into an initial region and a
 useful region, and at least one of the data tracks
20 is used as a primary control track for tracking
 control of the write head or of a head unit,
b) furthermore, at least one secondary control track
 is recorded in the initial region of each data
 section, but does not extend over the entire
 useful region of the data section.
- 25 6. Method according to Claim 5, characterized in that
 the secondary control track or tracks is or are
 limited to the initial region.
- 30 7. Method according to Claim 5, characterized in that
 data which are used for synchronization of the
 read unit during replay are recorded in the
 initial region of each data section, while useful
- 35

data are recorded in the useful region of each data section.

8. Method according to Claim 5, characterized in that
5 the primary control track is recorded essentially centrally on the data medium.
9. Method according to Claim 5, characterized in that
10 two further control tracks are recorded essentially on the edges of the data medium in the initial region of each data section.
10. Magnetic tape having a plurality of data tracks which are arranged parallel to one another and are
15 split into data sections which, for their part, are divided into an initial region and a useful region, in which case at least one control track extends over the entire length of the data section, characterized in that at least one additional control track is provided, which is limited to the respective initial region of each
20 data section.

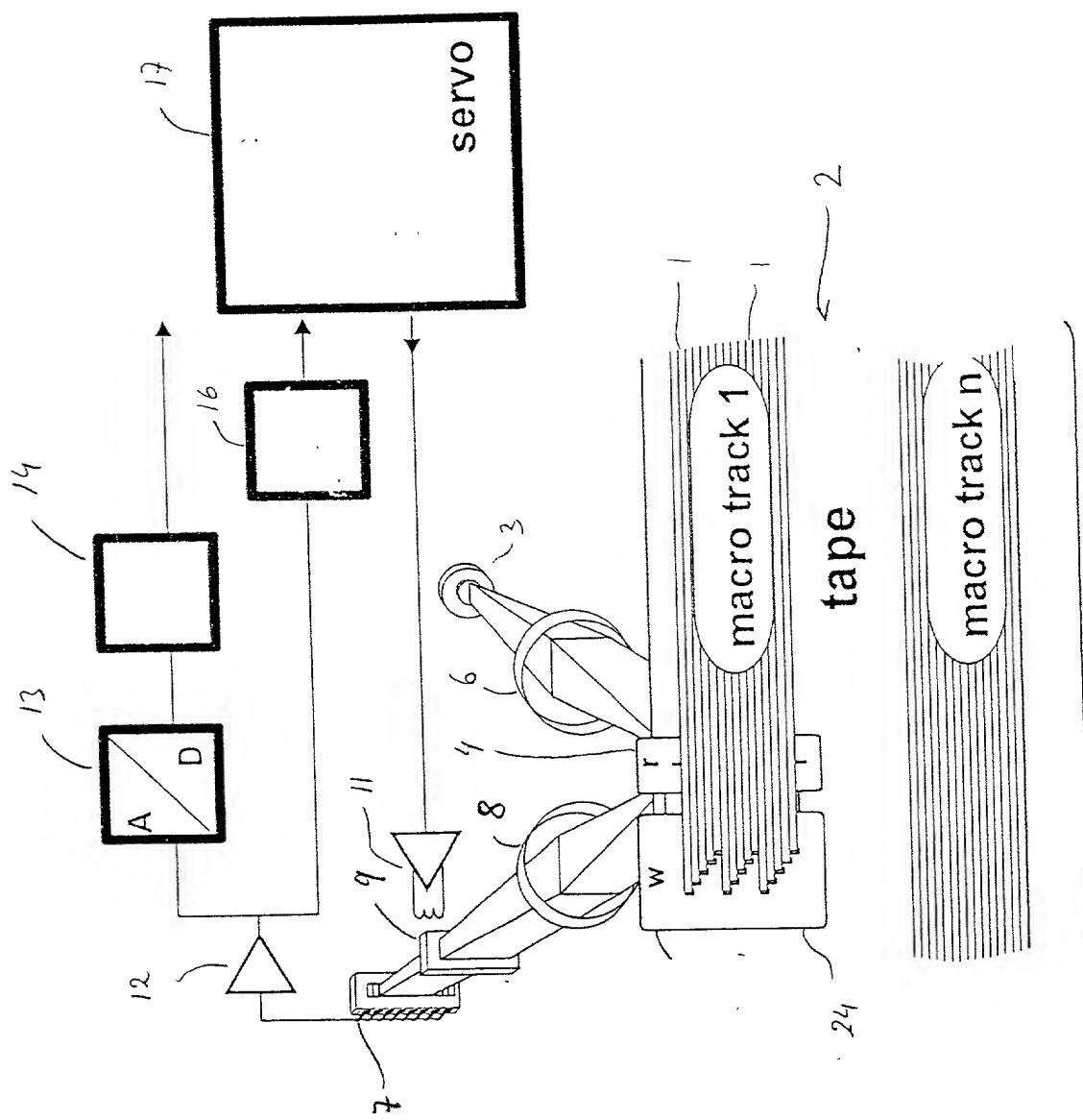
Abstract

The invention relates to a method for tracking control of a head unit with respect to data tracks on a data medium and in particular on a magnetic tape. On the magnetic tape, the data tracks are split into successive data sections which, for their part, are each divided into an initial region and a useful region. At least one control track extends over the entire length of the data section, in each data section. In addition to this, an additional control track is provided in the initial region of each data section, and is likewise used for tracking control. The data for tracking control, which are obtained while the initial region is moving past a head unit, are also used in order to improve the tracking control while the useful region is moving past the head unit. Fast and good tracking control is achieved in this way.

The invention also relates to a recording method which is matched according to the method of the invention for tracking control.

Figure 2.

Fig. 1a



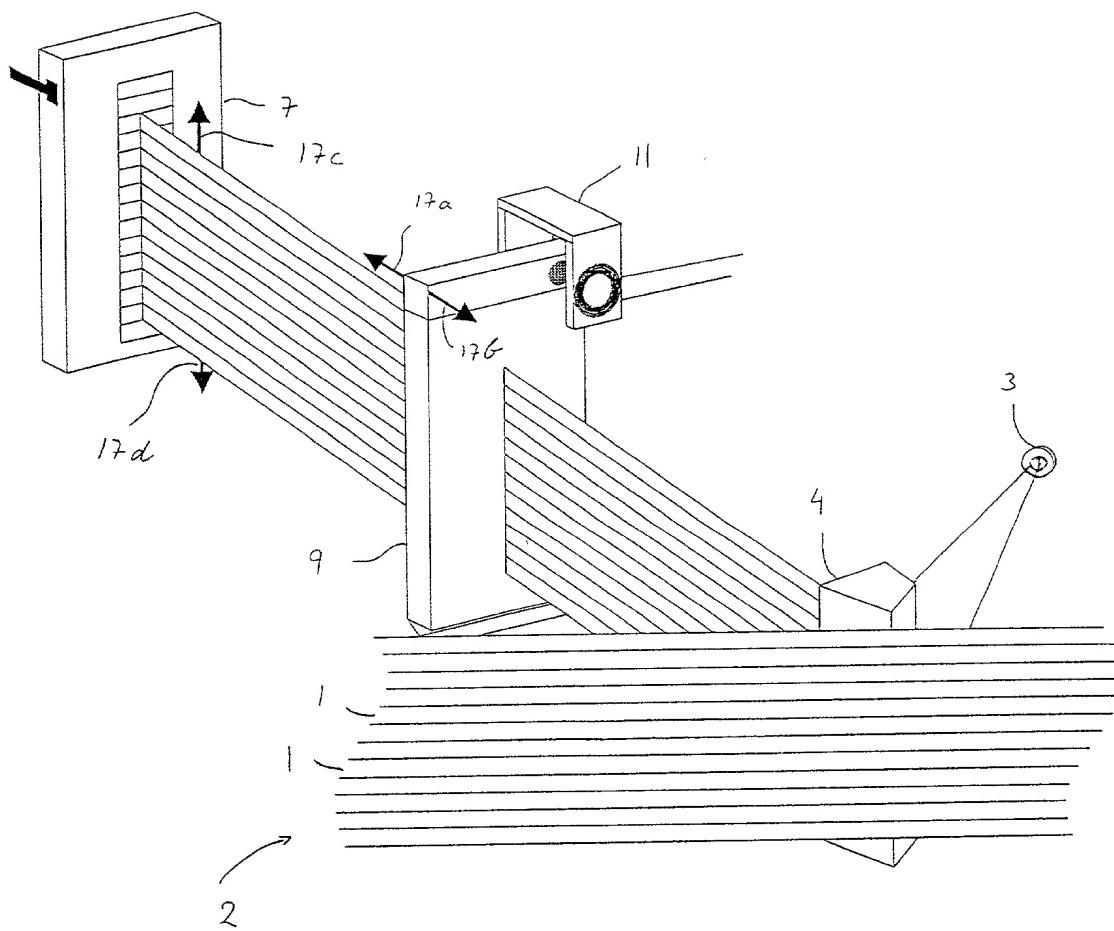


Fig. 16

PD 980072

3/3

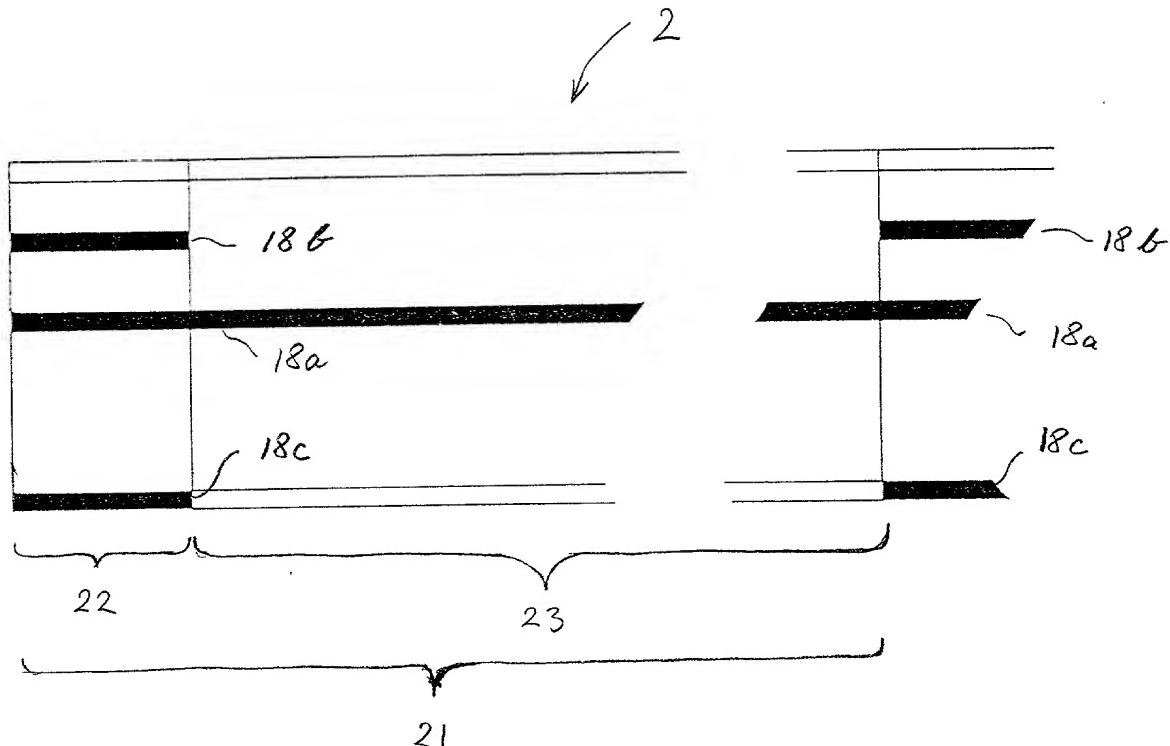


Fig. 2

DECLARATION FOR UNITED STATES PATENT APPLICATION,
POWER OF ATTORNEY, DESIGNATION OF CORRESPONDENCE ADDRESS

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and that I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD FOR TRACKING CONTROL

the specification of which
(CHECK ONE) (xx) is attached hereto.
 was filed on _____, Application Serial. No.
 and was amended on _____.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 CFR 1.56(a).

I hereby claim foreign priority benefits under 35 USC 119 of any foreign application(s) for patent, utility model, design or inventor's certificate having a filing date before that of the application(s) on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed
Number	Country	Date Filed	Yes No
19846835.0	DE	October 10, 1998	xx

I hereby claim the benefit under 35 USC 120 of any US Application(s) listed below, and, insofar as the subject matter of each of the claims of this Application is not disclosed in the prior US application in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 CFR 1.56(a).

Serial No.: _____ Filed: _____

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under of 18 USC 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Joseph S. Tripoli (Reg. No. 26,040), Peter M. Emanuel (Reg. No. 26,542), Eric Herrmann (Reg. No. 29,169) and Joseph J. Laks (Reg. No. 27,914) Telephone: (609) 734-9813.

Address all correspondence to Joseph S. Tripoli, Patent Operations - Thomson multimedia Licensing, Inc. - CN 5312 - Princeton, New Jersey 08543-0028.

Signature: Jürgen Kaaden Date: 15th day of July, 1999.
Sole or First Joint Inventor: Jürgen Kaaden

Citizenship: DE

Residence and Post Office Address:

Im Tannhörnle 10
D-78052 Villingen-Schwenningen
Germany

Signature: Peter Mahr Date: 20th day of July, 1999.

Second Joint Inventor: Peter Mahr

Citizenship: DE

Residence and Post Office Address:

Reutenbachstr. 13
D-78126 Weiler
Germany

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Jurgen Kaaden et al.
Filed : Herewith
For : METHOD FOR TRACKING CONTROL

APPOINTMENT OF ASSOCIATE ATTORNEY

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I, Joseph J. Laks, an attorney of record, hereby appoint Daniel E. Sragow, Registration No. 22,856, as associate attorney in the above-identified application, with full power to prosecute the above-identified application, to make alterations and amendments therein, and to transact all business in the Patent and Trademark Office connected therewith.

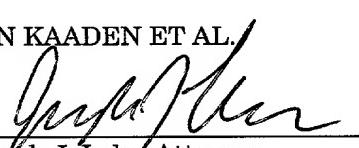
PLEASE ADDRESS ALL FUTURE COMMUNICATIONS TO:

Joseph S. Tripoli
Patent Operations
Thomson Multimedia Licensing Inc.
CN 5312
Princeton, NJ 08543-5312

Respectfully submitted,

JURGEN KAADEN ET AL.

By


Joseph J. Laks, Attorney
Reg. No. 27,914
(609) 734-9813

Patent Operations
Thomson Multimedia Licensing Inc.
CN-5312
Princeton, NJ 08543-5312
September 21, 1999

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a postage paid envelope addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

Date: 9-21-99

Signature Ulrichlanch